

854737.0005 (TARB-101.1)

PATENT

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10-6-03*IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Brian C. Tarbox et al.) Examiner:
Serial No.: 10/073,632) Group Art Unit: 2164
Filed: February 11, 2002) Confirmation No.: 6664
For: SYSTEMS AND METHODS FOR)
IMPROVING INVESTMENT)
PERFORMANCE)

Mail Stop: Petitions
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF
INFORMATION DISCLOSURE STATEMENT

Sir:

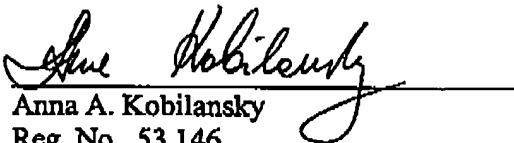
In accordance with M.P.E.P. § 708.02, VIII(c) and 37 C.F.R. § 1.56, the materials listed on the attached PTO-1449 form are being brought to the attention of the Examiner for consideration in connection with the Petition to Make Special Under 37 C.F.R. § 1.102(d) filed concurrently herewith. Copies of the references listed on the PTO-1449 that are not already of record are enclosed herewith.

The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). It is respectfully requested that the Examiner return a copy of the attached form PTO-1449 with initials or other appropriate marks indicating consideration of the cited materials.

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The Commissioner is authorized to charge any additional fees associated with the submission of this Information Disclosure Statement to Deposit Account No. 11-0231. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



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